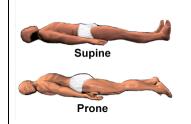


### MENTAL HEALTH SERVICE BUSINESS RULE SESLHDBR/014

| Name                   | Prone Restraint Restriction for the Mental Health Service (MHS)  |  |  |  |
|------------------------|--|--|--|--|
| What it is             | It is a business rule to restrict the length of time physical restraint is used in the prone position.                         |  |  |  |
| Risk Rating            | Medium Review Date June 2027   |  |  |  |
| What it is not         | It is not a guide to care planning for the prevention, early   |  |  |  |
|                        | intervention and management of a range of behavioural  |  |  |  |
|                        | responses experienced by consumers.  |  |  |  |
|                        | This document does not guide when and how to use restraint.  |  |  |  |
| The Patient Safety     | The SESLHD MHS, aims to systematically reduce the harm   |  |  |  |
| Program context        | experienced by people receiving care. Least Restrictive  |  |  |  |
|                        | Practice and harm minimisation through prevention and early  |  |  |  |
|                        | intervention approaches, routine debriefing and the  |  |  |  |
|                        | development of both infrastructure and culture to support  |  |  |  |
|                        | restraint reduction initiatives is a focus for the SESLHD MHS.   |  |  |  |
| Who it applies to      | This business rule applies to all SESLHD staff involved in the   |  |  |  |
| NAME OF TAXABLE PARTY. | physical restraint of consumers within mental health facilities.   |  |  |  |
| Who it does not apply  | Restraint should be avoided for the following consumer   |  |  |  |
| to                     | groups:  |  |  |  |
|                        | Obesity and/or bariatric conditions  |  |  |  |
|                        | Pregnancy. If unavoidable place a pillow under the right hip.  |  |  |  |
|                        | Recent surgery   |  |  |  |
|                        | Airway or pulmonary disease (including sleep apnoea) or  |  |  |  |
|                        | any other conditions potentially affecting breathing   |  |  |  |
|                        | Older adults, frailty or weakness  |  |  |  |
|                        | Known musculoskeletal injury or pathologies, including     action process or recent fractures.                                 |  |  |  |
|                        | osteoporosis or recent fractures   |  |  |  |
|                        | <ul> <li>Known nerve injuries or pathologies, sensory or motor<br/>deficits affecting mobility, balance or stretch</li> </ul>  |  |  |  |
|                        | , ·  |  |  |  |
|                        | <ul> <li>Known cardiopulmonary pathology including angina,<br/>ischaemic heart disease, chronic obstructive airways</li> </ul> |  |  |  |
|                        | disease or emphysema   |  |  |  |
|                        | <ul> <li>Cognitive impairment eg brain injury, intoxication.</li> </ul>  |  |  |  |
|                        | People with a disability   |  |  |  |
| Definitions            | <b>Prone:</b> "Denoting the position of the body when lying face   |  |  |  |
| Psych emergency        | downward." (Stedman's Medical Dictionary for the Health  |  |  |  |
|                        | Professions and Nursing 7th edition. p.1375).  |  |  |  |
|                        | Supine: Denoting the body when lying face upward; opposite   |  |  |  |
|                        | of prone. (Stedman's Medical Dictionary for the Health   |  |  |  |
|                        | Professions and Nursing 7th edition. p.1624).  |  |  |  |
|                        | Psychiatric emergency:   |  |  |  |
|                        |  |  |  |  |

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An acute clinical presentation relating to a disturbance in thought, mood or behaviour. The presentation is beyond the resources of the immediate clinical staff in a mental health setting. It is an extreme, time critical situation which may arise when a consumer's presentation results in them being unmanageable. They may be unable to cooperate with treatment, be at serious risk of extreme distress, physical harm or damage to either themselves or others. Immediate intervention is required to prevent injury, damage or distress. All available on call and on duty staff should be called to attend.

## **Background**

NSW Ministry of Health Policy PD2020 004 - Seclusion and Restraint in NSW Health Settings identifies that the prone restraint position can cause a significantly increased risk of harm to a person. There have been instances of sudden death, often associated with the administration of parenteral medication while in prone restraint. Staff should avoid prone restraint. Safety Notice 006/23 Use of Prone Restraint and Parenteral Medication in Healthcare Settings must be followed whenever a prone restraint is used.

# Special considerations

Recognising that while the use of restraint as a last resort may be necessary to keep people safe, it can also be traumatic and harmful for staff, people accessing services, carers & families and must be minimised.

Pay particular attention to:

- Culturally and linguistic diversity
- Aboriginal and Torres Strait Islanders
- The impact of trauma
- Children and young people
- Refugees
- LGBTQIA+ people
- · People who are at risk of self-harm or suicide
- · Staff at risk of vicarious trauma

#### Time limitation

- The prone position should be avoided if possible. When the
  use of the prone position is unavoidable, the period that
  someone is restrained should be minimised.
- Whenever a consumer is held (face down) in the prone position, the maximum period of continuous prone restraint should NOT exceed three minutes. Beyond this the patient can become physiologically compromised.
- Prone restraint should be ceased as soon as possible where it is safe to do so or following the necessary intervention for example medication administration.
- In the extraordinary situation where a prone restraint exceeds three minutes, extreme caution should be used to ensure maintenance of physiological monitoring, with a low threshold for medical emergency activation as per SESLHDPR/697 Management of the Deteriorating ADULT inpatient (excluding maternity) and SESLHDPR/705 Management of the deteriorating MATERNITY woman.

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|                                  | <ul> <li>A Medical officer is to be contacted for further advice if the<br/>prone restraint is approaching or has exceeded three<br/>minutes (where possible to attend) or in any other<br/>circumstance where staff are concerned about the prone<br/>restraint.</li> </ul>  |  |
|----------------------------------|---|--|
| When to use it                   | When least restrictive care interventions including deescalation and sensory modalities have been unsuccessful. When safe to do so, consider the use of restraint holds other than prone or supine. Walking or seated restraints are an option. Ensure the environment has suitable alternatives which may include stable lounge chairs with no arms placed against a wall.  In the extraordinary event involving a consumer exhibiting highly combative behaviour, when there is extreme and immediate risk to staff and consumer and there is a breach, or potential breach, of the three minute time frame. In any situation where prone restraint is used. If you place a   |  |
|                                  | consumer in the prone restraint position and the time limit has or is likely to be exceeded, contact the Medical Officer to attend if possible when on site or to advise through consultation.  |  |
| Routine Restraint Reporting      | All occasions of consumer restraint are to be reported in the Incident Management System (IMS+) and the seclusion and restraint register, including a description of the event and the duration of restraint.   |  |
| Extraordinary Event<br>Reporting | When the period of continuous prone restraint breaches the maximum three minute time frame, this is reportable to the General Manager SESLHD MHS. An "Incident Briefing to General Manager" should be submitted by the next working day, using the SESLHD Mental Health Incident Briefing to General Manager Template and is to be forwarded to the General Manager SESLHD MHS via the site's MH Service Director. The Briefing should provide details of the psychiatric emergency response process, including physiological consumer monitoring (ie airways and breathing).   |  |
| Physiological<br>Monitoring      | A senior nurse or medical officer should be responsible for ensuring the consumer's airway, breathing, circulation and level of consciousness are not compromised during any prone restraint; monitoring vital signs and; coordinating any emergency physical response that may be necessary. Vigilance for early signs of oxygen deprivation, respiratory or cardiac distress/arrest. The use of electronic monitoring is recommended, however, where this is not possible, constant visual monitoring for signs of physical compromise is required. Where signs of physical compromise are evident or suspected, the consumer should be moved out of the prone position immediately and a medical emergency response should be initiated. |  |
| Staff Safety                     | Employing any type of physical restraint may lead to injury (both physical and psychological) to staff and research has found that injuries to staff and others decline as a result of restraint reduction. This business rule aims to promote staff  |  |

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|                      | and consumer safety through a consistent standard of care in   |  |  |
|----------------------|--|--|--|
|                      | situations where the high risk practice of prone restraint is  |  |  |
|                      | unavoidable.   |  |  |
| Why the rule is      | Following a comprehensive national restraint practice  |  |  |
| necessary            | assessment, SESLHD MHS recognised (in certain instances  |  |  |
|                      | where other approaches are not practicable) that prone   |  |  |
|                      | restraint may present the only intervention capable of   |  |  |
|                      | protecting the consumer or others from serious harm. SESLHD MHS recognises there are inherent risks in placing a person in |  |  |
|                      | a face-down prone position but accepts that there may be   |  |  |
|                      | occasions when it is necessary to do so, noting that the longer  |  |  |
|                      | a consumer is held in a face-down position, the greater the risk   |  |  |
|                      | of an adverse outcome.   |  |  |
| Who is responsible   | All staff who have attended the Safe Physical Intervention   |  |  |
| •                    | Training (VPM).  |  |  |
| Ministry of Health / | NSW Ministry of Health Reference   |  |  |
| SESLHD reference     | PD2020 004 - Seclusion and Restraint in NSW Health   |  |  |
|                      | <u>Settings</u>  |  |  |
|                      | Safety Notice 006/23 Use of Prone Restraint and Parenteral   |  |  |
|                      | Medication in Healthcare Settings  |  |  |
|                      | Protecting People and Property - NSW Health Policy and     Protecting People and Property - NSW Health Policy and          |  |  |
|                      | Standards for Security Risk Management in NSW Health   |  |  |
|                      | Agencies   |  |  |
|                      | SESLHD References  |  |  |
|                      | SESLHDPR/697 - Management of the Deteriorating ADULT   |  |  |
|                      | inpatient (excluding maternity)  |  |  |
|                      | SESLHDPR/705 - Management of the deteriorating   |  |  |
|                      | MATERNITY woman  |  |  |
|                      | SESLHDGL/082 - Clinical Risk Assessment and  |  |  |
|                      | <u> Management - Mental Health</u>   |  |  |
|                      | SESLHDPR/595 - Emergency Sedation Procedure – Acute  |  |  |
|                      | Inpatient Mental Health Units  |  |  |
|                      | SESLHDPR/615 - Engagement and Observation in Mental  |  |  |
|                      | Health Inpatient Units Procedure   |  |  |
|                      | Other References   |  |  |
|                      | <ul> <li>Nation Safety and Quality Health Service (NSQHS) Second</li> </ul>  |  |  |
|                      | Edition: Standard 1 Governance, leadership and culture   |  |  |
|                      | (1.1, 1.3, 1.6, 1.7, 1.9, 1.11, 1.15, 1.30)  |  |  |
|                      | National Safety and Quality Health Service (NSQHS)   |  |  |
|                      | Second Edition: Standard 5 Comprehensive Care Standard   |  |  |
|                      | (5.33, 5.34, 5.35)   |  |  |
| Executive Sponsor    | Christopher Hay, General Manager, SESLHD MHS   |  |  |
| Author               | Clinical Governance and Risk Manager, SESLHD MHS   |  |  |

# **Version and Approval History**

| Date      | Version Number | Author and approval notes                               |
|-----------|----------------|---|
| June 2015 | Revision 4v4   | Endorsed by SESLHD MHS Clinical Council.                |
| June 2016 | Revision 5v1   | Document reviewed by SESLHD MHS Policy Officer:         |
|           |                | Change in Risk Rating from Extreme to High; Addition of |

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|                |              | statement re Patient Safety Program; Updated references.  |
|----------------|--------------|---|
| July 2016      | Revision 5v2 | Addition of reference to trauma as per PD2012_035, and addition of Intellectual Disability to patient modal groups for whom prone restraint is imprudent, following advice from SESLHD MHS Intellectual Disability Clinical Coordinator. Addition of 'supine' definition to match accompanying images, following advice from STG/TSH MHS Quality Manager. |
| July 2016      | Revision 5v2 | Endorsed by MHS Clinical Council.   |
| August 2016    | Revision 5v3 | Document published.   |
| May 2018       | 5            | Risk rating changed from High to Medium – approved by Executive Sponsor.  |
| June 2019      | 6.0          | Reviewed by Policy & Document Development Officer Reviewed by Clinical Risk Manager - minor changes made Circulated to DDCC for feedback - nil changes received   |
| July 2019      | 6.1          | Incorporates feedback from DDCC   |
| August 2019    | 6.1          | Minor review. Removed mandatory SIB and corrects it with mandatory RIB. Approved by the Executive Sponsor. Endorsed by SESLHD MHS DDCC. Endorsed by SESLHD MHS Clinical Council. Published by Executive Services.   |
| May 2020       | 6.1          | Updated links to document SESLHDPR/283 to reflect the new title of the document. Published by Executive Services.   |
| September 2020 | 6.2          | Updated to comply with NSW Health PD2020_004 Seclusion and Restraint in NSW Health Settings   |
| October 2020   | 6.3          | Reviewed by DDCC – further changes to reporting requirements/processes <i>Extraordinary Event Reporting</i> updated.  |
| November 2020  | 6.4          | Endorsed SESLHD MHS Document Development and Control Committee Endorsed SESLHD MHS Clinical Council   |
| May 2021       | 6.4          | Approved by Executive Sponsor.  |
| December 2023  | 6.5          | Review and update. SESLHD MHS Document Development and Control Committee  |
| May 2024       | 6.5          | Endorsed by DDCC and Clinical Council   |
| 5 June 2024    | 6.5          | Document published.   |

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